

1773

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08CN8803-25

In Re Application Of: **John E. Davis, et al.**

#13

Serial No.
09/683,114

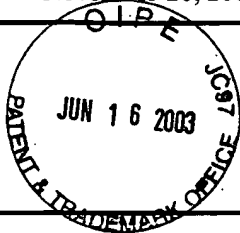
Filing Date
November 20, 2001

Examiner

Group Art Unit
1773

Title:

DATA STORAGE MEDIA



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JUN 18 2003
TC 1700

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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In Re Application: John E. Davis, et al.

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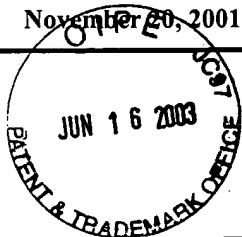
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Group Art Unit

1773

DATA STORAGE MEDIA



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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on June 13, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Diane W. Kuzmickas

Signature of Person Mailing Correspondence

Diane W. Kuzmickas

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account

Signature

Pamela J. Curbelo

Registration No. 34,676

Customer No. 23413

(860) 286-2929

Dated: 6.13.03

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
08CN8803-25

In Re Application Of: **John E. Davis, et al.**

Serial No.
09/683,114

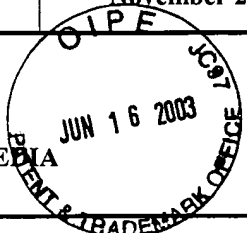
Filing Date
November 20, 2001

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Group Art Unit
1773

Invention:

DATA STORAGE MEDIA



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TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

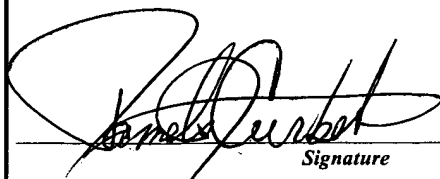
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **June 13, 2003**

**Pamela J. Curbelo
Registration No. 34,676
Customer No. 23413
(860) 286-2929**

Certificate of Mailing by First Class Mail

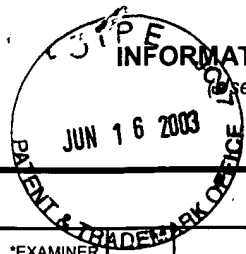
I certify that this document is being deposited on
June 13, 2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.


Signature of Person Mailing Correspondence

Diane W. Kuzmickas

Typed or Printed Name of Person Mailing Correspondence

CC:



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.
08CN8803-25

SERIAL NO.
09/683,114

John E. Davis, et al.

FILING
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE. IF APPROPRIATE
	1	4,235,835	11/25/80	Stutzman, et al.	264	328.2	11/29/79
	2	4,272,474	06/09/81	Crocker	264	176 R	11/06/79
	3	4,519,065	05/21/85	Lewis, et al.	369	275	08/09/82
	4	4,851,494	07/25/89	Eldin, et al.	528	170	07/14/88
	5	4,976,902	12/11/90	Oberle	264	54	10/04/88
	6	5,063,097	11/05/91	Hirota, et al.	428	65	12/14/89
	7	5,082,696	01/21/92	Sharp	427	255	12/31/87
	8	5,591,501	01/07/97	Ovshinsky, et al.	428	64.1	12/20/95
	9	5,657,304	08/12/97	Lehureau	369	109	08/03/95
	10	5,688,574	11/18/97	Tamura, et al.	428	64.1	03/14/96
	11	5,730,922	03/24/98	Babb, et al.	264	258	07/12/96

FOREIGN PATENT DOCUMENTS

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							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

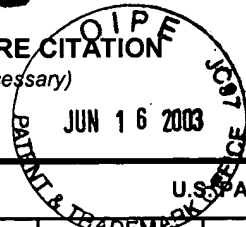
EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

08CN8803-25

SERIAL NO.

0976825

John E. Davis, et al.

FILING

November 20, 2001

GROUP

TC 1700

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	5,800,904	09/01/98	Hallman, et al.	428	156	10/29/93
	13	5,911,943	06/15/99	Minghetti, et al.	264	516	07/07/97
	14	6,094,413	07/25/00	Guerra	369	275.1	12/31/98
	15	6,207,095 B1	03/27/01	Gosetti	264	250	09/03/98
	16	6,328,920 B1	12/11/01	Uchiyama, et al.	264	255	07/17/98
	17	6,492,035 B1	12/10/02	Yamaguchi, et al.	428	611	08/18/99
	18	6,544,667 B1	04/08/03	Hosoe, et al.	428	664	03/10/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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DATE CONSIDERED

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Electronic Filing System (EFS) Data
Electronic Patent Application Submission

USPTO Use Only



1773
14
#13
(Duplicate of #13)

EFS ID: 41925
Application ID: 09683114
Title of Invention: DATA STORAGE MEDIA
First Named Inventor: John Davis
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-11-20
Effective Receipt Date: 2003-06-16
Submission Type: Information Disclosure Statement
Filing Type:
Confirmation number: 9349
Attorney Docket Number: 08CN8803-25

Total Fees Authorized: 180.0
Payment Category: Deposit Account
Deposit Account Number: 70862
Deposit Account Name: Pamela J. Curbelo
RAM Payment Status: RAM has not been processed

Digital Certificate Holder: cn=Pamela Curbelo,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: f4dfc914702a0e83a2e80111826793fb0091ff6f

06/17/2003 MGE BREM1 00000002 070862 09683114

01 FC:1806 180.00 DA

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Electronic Version v1.1
Stylesheet Version v1.1.0

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Title of Invention	DATA STORAGE MEDIA														
<p>Application Number: 09/683114 Date: 2001-11-20 First Named Applicant: John E. Davis Confirmation Number: 9349 Attorney Docket Number: 08CN8803-25</p>															
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>															
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Pamela J. Curbelo Registered Number: 34,676</td><td>pjmc</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Pamela J. Curbelo Registered Number: 34,676	pjmc	Attorney								
Submitted by:	Elec. Sign.	Sign. Capacity													
Pamela J. Curbelo Registered Number: 34,676	pjmc	Attorney													
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-fee-sheet</td><td>eIDS-usfees.xml</td></tr><tr><td></td><td>us-fee-sheet.xsl</td></tr><tr><td></td><td>us-fee-sheet.dtd</td></tr><tr><td>us-ids</td><td>eIDS-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>		Documents being submitted	Files	us-fee-sheet	eIDS-usfees.xml		us-fee-sheet.xsl		us-fee-sheet.dtd	us-ids	eIDS-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files														
us-fee-sheet	eIDS-usfees.xml														
	us-fee-sheet.xsl														
	us-fee-sheet.dtd														
us-ids	eIDS-usidst.xml														
	us-ids.dtd														
	us-ids.xsl														



Comments

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FEE TRANSMITTAL

Electronic Version v08
Stylesheet Version v08.0

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TC 1700

Title of Invention	DATA STORAGE MEDIA								
<p>Application Number: 09/683114 Date: 2001-11-20 First Named Applicant: John E. Davis Attorney Docket Number: 08CN8803-25 Art Unit: 1773 Examiner: Kevin Bernatz</p>									
<p>TOTAL FEE AUTHORIZED \$180</p> <p>Patent fees are subject to annual revisions on or about October 1st of each year.</p>									
<p>BASIC FILING FEE</p> <table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>		Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$						
Submission Of Information Disclosure Stmt Fee	1806	180	180						
<p>AUTHORIZED BILLING INFORMATION</p> <p>The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit account number: 070862 Deposit name: General Electric Company Deposit authorized name: Pamela J. Curbelo Signature: pjmc Date (YYYYMMDD): 2003-06-16</p> <p>Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.</p>									



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

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TC 1700

Title of
Invention

DATA STORAGE MEDIA

Application Number: 09/683114
Confirmation Number: 9349
First Named Applicant: John Davis
Attorney Docket Number: 08CN8803-25
Art Unit: 1773
Examiner: Kevin Bernatz
Search string: (4235835 or 4272474 or 4519065 or 4851494
or 4976902 or 5063097 or 5082696 or 5591501
or 5657304 or 5688574 or 5730922 or 5800904
or 5911943 or 6094413 or 6207095 or 6328920
or 6492035 or 6544667).pn.

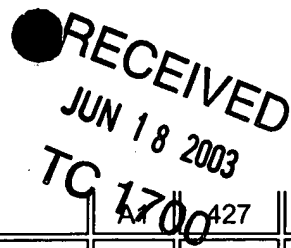
Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4235835	1980-11-25	Stutzman, et al.	A1	264	328.2
	2	4272474	1981-06-09	Crocker	A1	264	176R
	3	4519065	1985-05-21	Lewis, et al.	A1	369	275
	4	4851494	1989-07-25	Eldin, et al.	A1	528	170
	5	4976902	1990-12-11	Oblerle	A1	264	54
	6	5063097	1991-11-05	Hirota, et al.	A1	428	65



	7	5082696	1992-01-21	Sharp	A1	427	255
	8	5591501	1997-01-07	Ovshinsky, et al.	A1	428	64.1
	9	5657304	1997-08-12	Lehureau	A1	369	109
	10	5688574	1997-11-18	Tamura, et al.	A1	428	64.1
	11	5730922	1998-03-24	Babb, et al.	A1	264	258
	12	5800904	1998-09-01	Hallman, et al.	A1	428	156
	13	5911943	1999-06-15	Minghetti, et al.	A1	264	516
	14	6094413	2000-07-25	Guerra	A1	369	275.1
	15	6207095	2001-03-27	Gosetti	B1	264	250
	16	6328920	2001-12-11	Uchiyama, et al.	B1	264	255
	17	6492035	2002-12-10	Yamaguchi, et al.	B1	428	611
	18	6544667	2003-04-08	Hosoe, et al.	B1	428	664

Remarks

Note: Remarks are not for responding to an office action.

The attached IDS is a resubmission of an IDS that was mailed on June 13, 2003. It was discovered that the transmittal for the IDS mailed on June 13, 2003 inadvertently cited 37 CFR 1.97 (b) or 1.97(c) instead of citing 37 CFR 1.97(d). As a result, we are resubmitting the IDS along with the appropriate fee for submission of an IDS after a final action. If there are any questions, please contact the attorney of record at 860-286-2929.

Signature

Examiner Name	Date